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Docket No.: 3153/1G765US1

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Customer No.:



PATENT TRADEMARK OFFICE

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Stephen QUAKE; Michael VAN DAM

Serial No.: 09/800,435

Confirmation No.: 2542

Filed:

March 5, 2001

For:

COMBINATORIAL ARRAY FOR NUCLEIC ACID ANALYSIS

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner of Patents and Trademarks Washington, DC 20231

Sir:

In order to comply with 37 CFR 1.97 and 1.98, attached hereto is a

copy of Form PTO-1449 and copies of the documents listed thereon.

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In accordance with MPEP Sections 609 and 707.05(b), it is requested that each document cited (including any cited in applicant's specification which is not repeated on the attached Form PTO-1449) be given thorough consideration and that it be cited of record in the prosecution history of the present application by initialing Form PTO-1449 next to the document. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

The undersigned is also enclosing herewith a copy of a Search Report issued August 12, 2002 for the International counterpart of the present patent application (Application No. PCT/US01/06967), in which the presently disclosed references were cited. Since a translation of the Search Report is also enclosed, or the Search Report utilizes conventional codes to characterize each cited reference, it is believed that the applicants in the above-identified patent application have now met the "concise explanation" requirement of 37 C.F.R. 1.98.

This submission is filed before a first action on the merits has been mailed.

The present Supplemental Information Disclosure Statement is being submitted in compliance with 37 CFR 1.56, but the citation of such document is

Serial No. 09/800,435

Docket No. 3153/1G765US1

not to be construed as an admission that such document is necessarily relevant or

prior art. No representation is intended that the cited documents represent the

results of a complete search, and it is anticipated that the Examiner, in the normal

course of examination, will make an independent search and will determine the best

prior art consistent with 37 CFR 1.104(a) and 1.106(b) and, in the course of each

search, will review for relevance every document cited on the attached form even if

not initialed.

It is believed that no fee is due. However, if the Commissioner

determines that a fee is due, the Commissioner is hereby authorized to charge the

above deposit account for any deficiency.

Early and favorable consideration is earnestly solicited.

Respectfully submitted,

Dated: September 23, 2002

Marie L. Collazo

Registration No. 44,085

lullas

Agent for Applicant(s)

DARBY & DARBY Post Office Box 5257 New York, NY 10150-5257 (212) 527-7700 FORM PTO-1449



#### LIST OF REFERENCES CITED BY APPLICANT

(Use Several Sheets if Necessary)

**DOCKET NO.:** APPLICANT:

3153/1G765US1

Stephen QUAKE

SERIAL NO:

09/800,435

FILING DATE:

March 5, 2001

**CONFIRMATION NO: 2542** 

# **U.S. PATENT DOCUMENTS**

\*EXAMINER

**DOCUMENT** 

INITIALS NUMBER DATE

NAME

CLASS SUBCLASS FILING DATE

### FOREIGN PATENT DOCUMENTS

\*EXAMINER **INITIALS** 

**DOCUMENT** 

<u>NUMBER</u>

**DATE** 

COUNTRY

CLASS SUBCLASS

**TRANSLATION** 

YES

# **OTHER REFERENCES** (INCLUDING AUTHOR, TITLE DATE, PERTINENT PAGES, ETC.)

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- 1. Gunderson et al. "Mutation Detection by Ligation to Complete N-Mer DNA Arrays." Cold Spring Harbor Laboratory Press, 8:1142-1153, 1998.
- 2. Maier et al. "Automated Array Rechnologies for Gene Expression Profiling." Drug Discovery Today, 2:315-324, 1997.
  - 3. Granjeaud et al. "Expression Profiling: DNA Arrays in Many Guises." Bioessays, 21:781-790, 1999.
- 4. Drmanac et al. "DNA Sequence Determination by Hybridization: A Strategy for Efficient Large-Scale

Sequencing." American Association for the Advancement of Science, 260:1649-1652, 1993.

EXAMINER:	DATE CONSIDERED:

\*EXAMINER:

Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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